



SCHEDULE 1

NOTICE OF OBJECTION TO PROPOSED SETTLEMENT

No. VID 567 of 2019

Federal Court of Australia
District Registry: Victoria
Division: General

J WISBEY & ASSOCIATES PTY LTD (ACN 001 959 851)

Applicant

UBS AG (ABN 47 088 129 613) and others named in the Schedule

First Respondent

Complete this form if you wish to submit an objection to the Proposed Settlement.

If you support the proposed settlement, you do **NOT** need to return this form.

Please note that if you submit a Notice of Objection to Proposed Settlement, your name and your specific circumstances (including transaction details and grounds of objection) may be read out in Court and/or referred to in orders and/or judgments of the Court.

Your Notice of Objection will be considered by the Court when it is determining whether to approve the Proposed Settlement.

If you wish to object to the Proposed Settlement, or any aspect of it, by **4.00 pm (AEST) on 18 July 2025** you must file this form and any evidence/submissions in support by sending these documents to the Victorian Registry of the Court by email or mail at the addresses below **and** to Maurice Blackburn by email to ForexClassAction@mauriceblackburn.com.au.

Any Notice of Objection received after this deadline may not be considered by the Court when it is determining whether to approve the Proposed Settlement.

To: Victorian Registry of the Federal Court of Australia
vicreg@fedcourt.gov.au
Owen Dixon Commonwealth Law Courts Building
305 William Street
Melbourne Victoria 3000

cc: Maurice Blackburn Lawyers
ForexClassAction@mauriceblackburn.com.au



The person identified below gives notice pursuant to order 10 of the orders of the Court made on 7 May 2025 that the person is a group member in the Australian FX Class Action and **OBJECTS** to the Proposed Settlement of this proceeding, for the reasons outlined.

A. DETAILS OF OBJECTING GROUP MEMBER

- ☐ I registered for the Australian FX Class Action before 4.00 pm AEST on 12 August 2024 in accordance with Class Closure Orders (**Registered Group Member**).
- ☐ I submitted a registration form to Maurice Blackburn after 4.00pm AEST on 12 August 2024 but on or before 21 August 2024 (**Late Registrant**).
- ☐ I did not do either of the above and therefore am not a Registered Group Member or a Late Registrant.

[please tick one of the above three options]

Details of the group member, being the person or entity in whose name the Qualifying Trades^A were traded:

| | |
|---|--|
| Name of Group Member (person or entity) | |
| Person completing this form | |
| Capacity [e.g., individual, director, trustee/agent] | |
| Telephone | |
| Email | |
| Postal address | |

B. RELEVANT QUALIFYING TRADES INFORMATION

If you are a Registered Group Member, you are not required to provide the following information. Please proceed to Sections C, D, E and F below.



If you are not a Registered Group Member, you are required to provide the following information. Further, you are required to provide documentary evidence in support of your Qualifying Trades (for example, transaction data or other records of your Qualifying Trades).

| Part 1: Questions to help determine if you are a Group Member | | |
|--|---|-----------------------------|
| In the period from 1 January 2008 to 15 October 2013 did you (or your employee or agent): (a) trade in FX Spots or FX Outright Forwards; <i>and</i> (b) in one or more of the Affected Currency Pairs* ? | <input type="checkbox"/> Yes, my trading meets each of the requirements in both (a) and (b) | <input type="checkbox"/> No |
| If you answered yes to the question directly above, was the combined value of those trades made: (a) while you (or your employee or agent) were in Australia; <i>and/or</i> (b) through a Dealer** located in Australia, at least AUD 500,000 (or equivalent)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Part 2: Your Qualifying Trades^ | | |
| What was the total value your Qualifying Trades^ in the period from 1 January 2008 to 15 October 2013? * If you do not know, please provide your best reasonable estimate. You may provide an estimated range in responding to this question. | AUD \$ _____ or AUD \$ _____ to AUD \$ _____ | |
| Can you provide records of your Qualifying Trades? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Have you already received any money or submitted a claim to receive a payment in respect of your Qualifying Trades (anywhere in the world, including from other litigation and/or settlement) or otherwise agreed to release your claim? | <input type="checkbox"/> Yes (If you answered "Yes" to the above question, please provide further information about the value of your Qualifying Trades that were covered by the claim, and the amount of compensation you received. Please note, if you do not specify the currency in your answer, we will assume your answer to be in \$AUD.) | <input type="checkbox"/> No |
| | | |

* **Affected Currency Pairs** means any two of the following currencies: Australian dollar (AUD), British pound (GBP), Euro (EUR), Japanese yen (JPY), New Zealand dollar (NZD) or the US dollar (USD) paired with another currency from any of the following: AUD, Brazilian real (BRL), GBP, Canadian dollar (CAD), Chinese yuan (CNY), Czech koruna (CZK), EUR, Hong Kong dollar (HKD), Hungarian forint (HUF), Indian rupee (INR), Indonesian rupiah (IDR), Israeli shekel (ILS), JPY, Malaysian ringgit (MYR), Mexican peso (MXN), NZD, Norwegian krone (NOK), Polish zloty (PLN), Romanian leu (RON), Russian ruble (RUB), Singapore dollar (SGD), South African rand (ZAR), South Korean won (KRW), Swedish krona (SEK), Swiss franc (CHF), Taiwan dollar (TWD), Thai baht (THB), Turkish lira (TRY).

** **Dealer** means: an individual or firm acting as a principal, rather than as an agent, in the purchase and sale of currencies, and the quoting of prices for the purchase and sale of currencies, to customers in the Dealer's capacity as an FX market maker.

^ **Qualifying Trades** means: FX Instrument or FX Instruments (spots and outright forward trades) in relation to one or more of the Affected Currency Pairs where the FX Instrument was entered into, whether orally, in writing, electronically or otherwise where the person was domiciled or incorporated in Australia and/or where the Dealer or their employee or agent was in Australia (as those terms are defined in the Amended Statement of Claim).



C. GROUND(S) OF OBJECTION

Please state the reasons in support of your objection to the Proposed Settlement [set out in the space below any submissions you wish to make, attach additional pages if necessary].

If you are not a Registered Group Member and you would like to share in the benefit from the Proposed Settlement, please state the reasons why you believe you should do so and why you did not register by the deadline of 4.00 pm AEST on 12 August 2024.

D. EVIDENCE OR SUBMISSIONS

- ☐ I attach evidence and/or submissions in support of my objection.
- ☐ I do not attach any evidence and/or submissions in support of my objection, but wish for my objection to be considered based on my submission set out above.

[please tick one of the above two options]



E. ATTENDANCE AT HEARING AT 10.15 AM (AEST) ON 15 AUGUST 2025

- ☐ I intend to appear before the Court at the hearing at 10.15 am on 15 August 2025.
- ☐ I do not intend to appear at the hearing, but wish for my objection to be considered in my absence.

[please tick one of the above two options]

If you intend to appear, please complete the following:

- ☐ I will appear on my own behalf.
- ☐ I will be represented by a lawyer.

[if you intend to appear, please tick one of the above two options]

F. SIGNING OF NOTICE

By signing below, I confirm that the information I have provided in this form is true, complete and correct:

| | |
|-----------|--|
| Signature | |
| Name | |
| Capacity | |
| Date | |